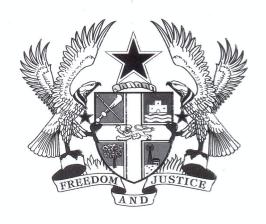


MINISTRY OF HEALTH

RINNER POLICY



GUIDELINES



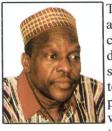
MINISTRY OF HEALTH

RIMMERRAL POLICY



GUIDELINES

FOREWORD



The policy of referring patients from primary care levels to the appropriate level for continuous provision of health care in the country has been identified as an integral component of the health delivery system. There is the need, therefore, to ensure a well structured and efficient referral system that will not only stand the test of time but also be attractive to patients in particular and the public in general. The Ministry of Health is, no doubt, concerned with the current practice of patients' referrals which most of the times cause delays in accessing critical or emergency care and

eventually leading to preventable deaths.

This can be attributed to lack of harmonization of the referral system and standard procedures that define roles and responsibilities of the referring and receiving health facilities. This affects the continuous and seamless delivery of health care to the patient.

These challenges facing the referral system in the health sector have made it imperative to develop this policy and guidelines to ensure their reduction or elimination and to bring about increase access to health care by all people living in Ghana.

The Ministry of health in its quest to ensure the attainment of its vision of creating a healthy population for national development, is committed to operating a referral system that will ensure safe and efficient transfer and care of patients within its health facilities. It is important to acknowledge that a good and reliable referral system is a key component of quality health service delivery. It is equally important to acknowledge that harmonization of the referral system will allow for better collaboration and communication between health facilities. This will contribute to the reduction or elimination of the challenges that affect smooth and responsive patient referrals. It is our hope that this document would help to build and improve patients' confidence in the referral system in the country and ensure efficient health care delivery. All public and accredited private health facilities shall adhere to this policy and guidelines and shall develop operational policies to facilitate its implementation. To ensure the continuous relevance of this policy and guidelines to prevailing situations, it shall be revised as and when necessary.

Hon. Alban Sumana Kingsford Bagbin (MP)

Minister of Health

May, 2012

(fii)

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PART ONE: MINISTRY OF HEALTH REFERRAL POLICY AND GUIDELINES

1.0 INTRODUCTION

The Ministry of Health is committed to providing quality health care to all people living in Ghana. All health care providers shall refer patients appropriately to ensure continuous provision between all levels of health care in the country.

2.0 THE CURRENT SITUATION

The referral system requires patients to first access primary care and be referred to the appropriate level when the need arises. However, patients/clients bypass the first level of care mainly due to ignorance, inadequate primary health care facilities and lack of confidence in the first level facilities.

Other factors making the system inefficient include lack of standard procedures for referrals, delays in referrals, non-use of referral forms, poor perception of the system by referred patients and lack of feedback. If the inefficiencies of the referral system are not addressed, the gatekeeper mechanism being advocated under the National Health Insurance Scheme will not work and it may result in high health care costs to mutual health schemes and their eventual collapse.

Therefore, for the NHIS to be successful, the system must be strengthened (refer guideline for Gatekeeper System and Free Maternal Policy Documents Appendix A).

3.0 DEFINITION AND CONCEPTS OF REFERRALS

Referrals 'involve the transfer of some or all the responsibility for the patient' Care temporarily or permanently and for a particular purpose, such as investigation, consultation, care or treatment of the patient'.

It ensures that patients can access care at the primary (lower) levels and be referred promptly for secondary or tertiary care if required. Likewise,

referral back to the lower facility is recommended when the reason for the referral has been addressed.

Referral involves cooperation, coordination and information transfer between the various service delivery levels.

3.1 Types of Referrals

- External
- Internal
- International

3.2 External Referral System

3.2.1 Pre-hospital Emergency Referrals

These include referrals from

- National Ambulance Service
- Other Ambulance Services
- Others e.g. Community Volunteers etc.

3.2.2 Facility to Facility (inter-facility) Referrals

Referrals may be received from any of the following institutions

- Teaching Hospitals
- Ghana Health Service (GHS) Institutions
- Private Practitioners including Midwives
- CHAG and other Mission Hospitals
- Quasi Government Hospital
- National Ambulance Service
- Others

3.3 Internal Referral System

This is referral within the health facility

- One department to another department
- Within a department
- One unit to another unit or a department.

3.4 Reasons for such referral

- 3.4.1 To obtain the opinion or advice of another provider
- 3.42 Co-management of a case
- 3.4 3 Further management/specialist care

4.0 GENERAL PRINCIPLES FOR REFERRALS

4.1 Organizing for Referral

- 4.1.1 The National Referral Policy and Guidelines as well as the Gatekeeper System and Free Maternal Care Policy shall be available in all the Units/Departments of all Health Facilities
- 4.1.2 A two-way referral system shall be implemented in all facilities. In this regard, referrals can be from a lower health facility to a higher or specialist facility and vice versa.
- 4.1.3 The Ministry of Health shall prepare and make available in all health facilities, a directory of facilities and services provided. This should be annually updated.
- 4.1.4 Patients shall be referred to facilities capable of handling the cases using the directory of health providers and services.
- 4.1.5 Registers shall be maintained for monitoring and evaluation of internal and external referrals in all health facilities

4.2. Referral Process

- 4.2.1 A completed standard referral form shall accompany any patient being referred.
- 4.2.2 The standard referral form shall be filled and a copy kept in the referring facility
- 4.2.3 The standard referral form shall contain:
- A) Vital data or information about the patient.
- I. Name
- ii. Age/Date of birth
- iii. Sex
- iv. Health Insurance status
- v. Address
- vi. Clinical history and examination findings
- vii. Results of relevant investigations

viii. Diagnosis and treatment given

- B) The name, address and telephone number of the referring facility and the facility being referred to.
- C) The date and time of referral must be indicated at all times
- 4.2.4 The referral form shall be completed legibly and comprehensively.
- 4.2.5 The referring practitioner/clinician must complete the referral form, write his/her name, signature and stamp if possible
- 4.2.6 The referral form must indicate the urgency of the referral
- 4.2.7 The reason for the referral
- 4.2.8 For all NHIS patients, all referrals should adhere to the Gatekeeper System and Free Maternal Policy Document
- 4.2.9 All referrals from all health institutions including private health facilities must conform to the Ministry of Health Referral Policy Guidelines Document.

4.3 COMMUNICATION OF PATIENT CARE AND TRANSPORTATION

- 4.3.1 Where possible, referrals must have prior communication (i.e. telephone, radiophone, email, fax etc.) to the receiving facility providing the following patient details:
- a. Name, age, sex.
- b. Presenting complaints
- c. Examination and findings
- d. Investigations carried out
- e. Diagnosis and treatment given
- f. Date and time of referral
- 4.3.2 Patients may be conveyed to and from the health facilities using a suitably equipped ambulance or whatever other appropriate means of transportation available.
- 4.3.3 Where an ambulance is used to transfer a patient, the referring facility should make adequate arrangement for the return of the nurse/practitioner to the facility.

4.4 FEEDBACK

4.4.1 Feedback shall be sent to the referring facility.

- 4.4.2 The attending Practitioner/Clinician at the receiving (referred) facility shall, where possible refer patients back to the referring facility for continuation of management.
- 4.4.3 The attending Practitioner/Clinician at the receiving (referred) facility must clearly specify on the feedback form, details of ongoing management or further therapy required.

5.0 GUIDELINES ON PRE-HOSPITAL EMERGENCY REFERRAL

- 5.1. All health facilities/ emergency units must accept all emergency cases that can be handled in those facilities.
- 5.2. Adequate care must be provided to these cases
- 5.3. Where referral to another institution is required, initial care must be provided to the patient
- 5.4. In the case of referral to another facility, continuous medical care should be ensured.
- 5.5. Emergency in any form should not be turned away or refused without initial first aid being given.
- 5.6 Pre-hospital medical emergency forms shall be completed by the Ambulance Service crew and signed by the practitioner (Medical Assistant, Nurse or Doctor) at the receiving facility. The form should include:
- 5.6.1 Name, age, sex.
- 5.6.2 Time of arrival at the scene
- 5.6.3 Time of departure
- 5.6.4 Time of arrival at the health facility
- 5.6.5 Time of handing over the patient
- 5.6.6 Presenting complaints
- 5.6.7 Examination and initial findings
- 5.6.8 Monitored vital signs that include time, Blood Pressure, Temperature, Heart Rate and Respiratory Rate among others
- 5.6.9 Impression and initial management

6.0 EMERGENCYREFERRALS

6.1 Emergency Services shall be provided at all times, including

weekends and holidays.

6.2 There shall be a separation of outpatient and emergency services

within the facility.

6.3 The emergency team on duty must officially and immediately receive emergency Referrals/ cases to the facility to be urgently evaluated by the practitioner/ clinicians.

6.4 Emergency medicines and supplies shall be available at any given time in the Emergency Unit/Department at all the levels of health

facilities.

6.5 If it becomes necessary for an emergency unit to close down, prior and adequate arrangement shall be made for patients to receive emergency care.

7.0 INTERNAL REFERRALS

7.1 All internal referrals shall be accompanied by patients' notes indicating full detailed history, examinations, investigation, findings, treatment given and reasons for referral.

7.2 The referring Practitioner shall write his/her name, date, time and

sign the referral letter in the patient's notes.

7.3 The practitioner to whom the patient is being referred must be given prior information (i.e. verbal) about the patient, as much as possible by the referring practitioner.

7.4 Patients with critical or life threatening conditions shall be

attended to immediately.

7.5 A non critical patient should be responded to as soon as possible. However, it should be within twenty-four hours.

7.6 Policy on international referral and the gatekeeper and free maternal policy document shall be adhered to.

8.0 MEDICAL EVACUATION / INTERNATIONAL REFERRALS OUT OF THE COUNTRY

Medical Evacuation is provided for civil and public servants from Ministries, Departments and Agencies (MDAs) who by virtue of their condition of employment are entitled to such package.

As a policy, Medical Evacuation is primarily reserved for certain medical

conditions or diseases that cannot be managed locally for want of requisite equipment or professional expertise.

Apart from extreme emergency situations, MDAs are required to submit request for Medical Evacuation / International Referrals to the Director-General of the Ghana Health Service.

In both instances, a medical board should be constituted to verify and (or) evaluate the merits of the case.

8.1 International Referrals into the Country

International referrals should be directed to the appropriate health institutions and these referrals must follow the institution's administrative guidelines for such referrals. in conformity with International Health Regulation 2010

9.0 INTERFACES BETWEEN THE AMBULANCE SERVICE AND THE HEALTH FACILITIES

9.1 Complementarities between Pre-hospital and Hospital activities:

As much as possible, there should be coordination mechanisms between all actors involved in the management of the patients requiring emergency medical attention in the pre-hospital setting and who will need to be transported to a health facility.

9.2 Necessity to promote Emergency Medicine Units / Departments in hospital settings

Every hospital should develop its capacity and capability to manage emergency patients at the time of arrival to the facility without delay or interruption in the continuity of care delivery.

9.3 Appropriate management of Emergency patients at the site:

Basic Life Support skills can save many lives and therefore this should be considered as a priority in training staff of all agencies involved in the management of situations where emergency patients can potentially be met

9.4 Appropriate management of patients during transport;

All ambulance crew (both public & private) should receive proper training in managing emergency patients, basic technician level as a starting point and progress to advanced life support level depending on their specific role in the ambulance team.

9.5 Partnership in a network of all Agencies;

The coordination of the activities of the various agencies dealing with Medical Emergency patients should be organized and coordinated within the Ministry of Health.

10.0 MEDICO-LEGALISSUES

- 10.1 All requests for medico-legal examinations (i.e. rape, assault etc.) must be accompanied by an official request from the Police and other relevant authorities.
- 10.2 Medico-legal requests not within the capability of the health facility concerned should immediately be referred to the appropriate level.
- 10.3 All Medico-Legal records must contain complete data such as date and time of incident, findings and management.
- 10.4 The attending practitioner must write his/her name, sign and stamp all medico-legal documents.
- 10.5. A copy of the Medico-Legal report shall be kept in a file at the administration in the facility.

11.0 MONITORING AND EVALUATION:

The assessment of the needs and priorities over time as well as the assessment of the capacity of the partners should be conducted on a regular basis by the Monitoring Units of the various institutions as well as the Monitoring Units of the Ministry of Health. Monitoring of activities should be implemented to ensure that the process remains relevant, efficient and on track.

PART TWO: GATE KEEPER SYSTEM AND FREE MATERNAL CARE POLICY

I. SECONDARY HOPITALS, TERTIARY HOSPITALS AND SPECIALIZED FACILITIES

Improving implementation in the context of the NHIS

SCENARIO NO.	SCENARIO DESCRIPTION	GUIDELINES	CLAIMS SUBMISSION AND PAYMENT	REMARK
V	Emergency (Emergency includes any acute life threatening health condition)	1. Emergencies can be seen at any level with or without referral. 2. If maintenance care is required after the patient is discharged from the acute episode, see Scenario D acute episode, see Scenario D	Full tariff applicable to the facility type for emergency care including follow ups for the initial episode. Link original diagnosis to the review by quoting the diagnosis and the ICD-10.	1. Facilities must have emergency units/rooms. 2. Unful the uniform MOH prescription form is introduced, there is no need for a facility to attach prescription form or investigation request form to any claim, emergency or otherwise, EXCEPT for unbundled claims such as claims from a standalone pharmacy or a standalone diagnostic centre. The uniform MOH prescription form will make provision for a copy to be attached to a claim. 3. Healthcare Facilities from district level upwards must have an equipped emergency room or unit.
		,		
SCENARIO NO.	SCENARIO DESCRIPTION	GUIDELINES	CLAIMS SUBMISSION AND PAYMENT	REMARK
ed i	Acute and chronic non- emergency cases not referred but appearing at 2° or 3° hospitals and found to be a non-emergency.	1. The 2º or 3 ° hospitals should treat. 2. If maintenance care is required after discharge from the acute episode, see Scenario D below. 3. ENT, Dental, Eye cases do not	I. If referred, 2° or 3 ° tariffs apply respectively I. If not referred, 1° rariffs apply. Ror ENT, Dental For ENT, Dental	Caution: 2° or 3 ° hospitals must not turn patients away without triaging. Intensive education of staff and patients to be done by schemes and providers Referral to the lower level should be

		need referral letters.	and Eye cases tariffs	fully documented in the patient's
	HEH	224	appropriate for the	folder
			level applies	3. Database of chronic follow-up
				should be made available to NHIS
		,		and updated regularly. NHIS should
		makanda w		develop codes for patients on
				chronic maintenance care.
				4. If referral to a lower facility is
				required it should be to the
				appropriate level, e.g 3 ° to 2°, 3 ° to
7	3			1° or 2° to1°

SCENARIO DESCRIPTION	Z	GUIDELINES	CLAIMS SUBMISSION AND PAYMENT	REMARK
Emergency or acute or)r	1. Treat and stabilize at the 2° or 3	1. Full tariff (secondary and	 Monitor referral claims from particular facilities.
3 hospital	5	2. If maintenance care is required	tertiary respectively)	2. Monitor for late referrals,
1		after discharge from the initial	2. Attach referral to	unnecessary referrals and quality of
		episode, see Scenario D below.	claim, with referral	referrals (both referrals up and
		8	code.	
				4. Referral up or down must have full
		· ·		documentation - see GHS referral
				forms.
				5. Keep referral register at the facility.
Maintenance care of chronic	hronic	1. For patients treated at a 2° or 3°	1. Full tariff applicable	1. Monitor for unjustifiable continued
condition		hospitals, whenever	to the facility type	specialist care at 2° or 3° hospitals.
		maintenance care is required,	for stabilization	2. Monitor for covert co-payments on
		the patient should be stabilized	including follow ups	the pretext of patient's insistence.
		and referred to an appropriate	on the initial visit	3. Facilities should maintain registers
		lower facility on discharge from	2. Full tariff for	for chronic non-communicable
		the initial episode.	justifiable continued	conditions.
		2. However, if the condition	care at the 2° or 3°	4. The referral code/number is the
		requires continued specialist	hospitals.	number/code on the chronic follow
		attention at the secondary or	3. Primary hospital	up list.
		tertiary hospital, management	tariff for	5. Facilities should institute
		should be continued at that	unjustifiable	appointment system with
		level. Adequate justification	continued care at 2°	appointment cards,

6. Medication for chronic condition	should not be prescribed for more	than two months at a time.	7. Massive education of members and	the general public about benefits and	exclusions.	8. NHIA should monitor for co-	payment	9. Proper documentation of patients	records at both the provider and	NHIA sites.	10. Disclaimer /undertaken form should	be designed.	8		ă							
or 3 ° hospital.	4. Patient pays full fee	for own insistence	on continued	specialist care at 2°	or 3 ° hospital.	Provide adequate	documentation of	the patient's	decision for the	patient to sign	and/or thumb print	an undertaken or	disclaimer form.	5. Attach referral to	first claim and quote	referral	number/code on	claim form.	Subsequent claims	quote referral	number only.	
should be given for continued	care at secondary or tertiary	hospital, e.g. one justification	for continued maintenance care	at the 2° or 3° hospitals is	where the medicine the patient	is taking cannot be prescribed at	the primary level.	3. If the specialist determines that	continued specialist care is not	required but the patient insists	on continued specialist care at	the 2° or 3° hospital, the patient	should bear the full cost of that	care.		D.					20	
					3		9			Ti Ti												
																				146		

REMARK	Monitor for facilities treating only what they are able to handle. Monitor for undue delay in referring or (inappropriate referrals) unnecessary dumping of patients from primary facilities. Complications of the chroric conditions should be regarded as emergencies. During monitoring, check for the first diagnosis on the claim to ensure it is not the same as the one diagnosed in earlier visits (up to 2 weeks prior to the present visit).
CLAIMS UBMISSION AND PAYMENT	1. Full tariff. 2. No referral required if patient has been on maintenance care at the 2° or 3° hospitals. 3. Atrach referral if referred from a primary facility.
GUIDELINES	1. If maintenance care has been by a primary facility or if rushed to a primary facility or if rushed to a primary facility, the primary facility should treat or stabilize and refer to 2° or 3° hospital. 2. If the patient was referred to the 2° or 3° hospital should stabilize and refer back to the primary facility to resume maintenance care or continue specialist care in the 2° or 3° hospital based on justifability. 3. If the patient shows up at the 2° or 3° hospital based on maintenance care in the 2° or 3° hospital and turns out to have a chronic complication, the hospital should treat and retain on maintenance care in the 2° or 3° hospital. 4. If the patient had been on maintenance care in a primary facility, then the 2° or 3° hospital should treat and refer the patient back to the primary facility. However, the patient can be retained on maintenance care in the 2° or 3° hospital but adequate justification shall be provided by the facility.
SCENARIO DESCRIPTION	Chronic condition on maintenance care, who develops an acute or acute on chronic complication
SCENARIO NO.	щi

REMARK '	1. Educate patients that they do not have to go to the 2° or 3° hospitals with all illnesses; else they will be turned to a lower facility. 2. Monitor for abuse of the gatekeeper system by patients. 1. Monitor for adherence (abuse).		REMARK	·
CLAIMS SUBMISSION AND PAYMENT	1. If treated at the 2° or 3 ° hospital, primary tariff applies. 2. This hospital applies, i. Thigher tariff applies, i. 2° or 2° browing is the primary of the primary o	tariff. 2. All diagnoses should be captured on dains form. -3. Attach referral form to the claim.	CLAIMS SUBMISSION AND PAYMENT	1. For specialised (stand alone)
GUIDELINES	Treat at whichever level the patient shows up (1°, 2° or 3° hospital. Treat internal referrals of the free internal are for the free internal and the part of the free internal are for the free internal and the part of the free internal are for the free internal and the part of the free internal are for the free internal and the free internal are for the free internal and the free internal are free in C above.	the only difference being that the referral comes from within. 2. An internal referral to a specialist should preferably be done by a medical doctor. 3. Treat maintenance care as in D above.	GUIDELINES	Specialized facilities include Eye Clinics, Dental Clinics, ENT
SCENARIO	Chronic condition on maintenance care at 2° or 3 ° hospital who develops a 'simple' condition not due to the chronic condition, e.g. malaria Internal referrals	-	SCENARIO	Specialized Facilities /Specialized Services in
SCENARIO NO.	EL G		SCENARIO NO.	T.

	T. C.	1. Intensity education by providers and schemes.
(stand alone) facilities, primary tariffs apply. 2. For specialised service in 2° or 3° hospitals, the appropriate tariffs apply.	For non-reterrals primary tariffs apply. Referrals, full tariff applies.	Full tariff, except for those who insist on secondary or tertiary care.
Clinics, Dental Clinics, ENT Clinics and Trauma/Orthopaedic Clinics in a secondary or tertiary hospital. 2. Patients may attend with or without referral.	Should not be managed for more than 3 months at primary level but should be referred.	1. Those who can be managed at primary level, refer accordingly. 2. Those who need to continue on maintenance care at secondary or tertiary hospital document in chronic NCD and justified for the continued care at secondary or tertiary by March 31, 2012. 3. Secondary and tertiary institutions should provide list of chronic follow-up patients prior to NHIS inception and a justification for the continued follow-up. 4. Patients who do not need
/Specialized Services in secondary or tertiary facilities	Chronic cutaneous ulcers	Already in secondary or tertiary as maintenance before these guidelines
	Ť.	₹.

continued care at the secondary or tertiary hospital but who insists on continued care at the secondary or tertiary should bear full cost of the care.	1. For patients visiting a secondary or tertiary hospital without referral (walk in), if treated at the OPD, the facility shall claim for primary hospital OPD tariff. 2. For a walk in patient who is admitted in a secondary or testions hospital or the patient who is	day, so claimed for the same day, section and the same respectively shall be claimed by the facility, but OPD tariff shall not be claimed for the same day
=	Admission of walk-in patient at secondary or tertiary hospital	
1	ıi.	

II. FREE MATERNAL CARE

[SCENARIO NO.]	SCENARIO DESCRIPTION	GUIDELINES	CLAIMS SUBMISSION AND PAYMENT	REMARK
Ξ	Free Maternal Care	1. Pregnant women should be	1. For non-referral	1. Promote 100% focused ante natal
		encouraged to elect to attend 1°	antenatal and	and post natal care at all levels of
		facilities for antenatal, delivery	postnatal care	care.
		and postnatal care.	primary tariffs apply	2. To enable 2° or 3° hospitals focus
		2. Pregnant women may deliver at	at all levels	on referrals, pregnant women shall
		primary, 2° or 3° hospitals with	2. For deliveries,	be encouraged to use primary
	16.	or without referral.	whether referred or	facilities but shall not be restricted.
	X	3. For first visit the pregnant	not, the appropriate	3. Pregnancy may be confirmed by
		woman should be seen even if	tariff for the facility	examination, pregnancy test or
		they have not registered with	type applies.	ultrasound scan.
		the scheme.	3. For referred cases	4. A pregnant woman who refuses to
		4. The woman should go to the	2° or 3° hospital	register with the scheme in spite of
		scheme to register and obtain	tariffs respectively	adequate education shall be deemed
		NHIS card (temporary or	apply.	not to be willing to take advantage of
		permanent) before the second	4. For referred cases,	the Maternal Care policy and shall
		visit.	referral should be	not be compelled to do so.
		5. Following the first visit	attached to the first	
		registration can be facilitated	claim.	
		through any of the following:	5. Claims code	
		a. Provider fills the name	/number should be	
		of the client on an	quoted for referral	
		NHIS Membership	claims.	
		Registration Form and		
		let her send to the		

	10000			(201			9	7					- Control of the Cont				0		× .						2		
nearest scheme office	for registration	b. Where there is a	scheme officer within	the facility (say in the	case of larger facilities),	the provider should	refer the client for	registration	c. In facilities where there	are fixed antenatal days,	schemes should go to	the facilities to register	the women on the	antenatal days.	6. If the woman's membership has	expired she should renewed	(without paying premium or	processing fee) before the	second visit.	7. If the woman's card has not	expired at the time she gets	pregnant, she goes ahead and	accesses antenatal, delivery	and/or postnatal care.	8. The woman's card covers the	baby for the first three months	
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